

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 USC 371

401232/MIURA

U.S. APPLICATION NO.

09/857963

INTERNATIONAL APPLICATION NO.
PCT/JP99/06975INTERNATIONAL FILING DATE
December 13, 1999PRIORITY DATE CLAIMED
December 14, 1998

TITLE OF INVENTION

COATED BIOLOGICALLY ACTIVE PARTICLES

APPLICANT(S) FOR DO/EO/US

CHIKAMI ET AL.


Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

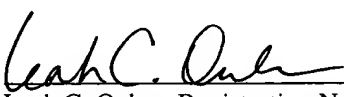
1. ☒ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
 3. ☒ This is an express request to begin national examination procedures (35 USC 371(f)).
 4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
 5. ☒ A copy of the International Application as filed (35 USC 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. ☒ An English language translation of the International Application as filed (35 USC 371(c)(2)).
 7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
 8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
 9. ☐ An oath or declaration of the inventor(s) (35 USC 371(c)(4)).
 10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).
 11. Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper Copy
 - c. ☐ Statement verifying identity of above copies
- Items 12 to 19 below concern other document(s) or information included:**
12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 - ☐ Form PTO-1449
 - ☐ Copies of Listed Documents
 13. ☐ An assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 14. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
 15. ☐ A substitute specification.
 16. ☐ A change of power of attorney and/or address letter.
 17. ☒ Application Data Sheet Under 37 CFR 1.76
 18. ☒ Return Receipt Postcard
 19. ☒ Other items or information: FORM PCT/ISA/210, FORM PCT/IB/301, FORM PCT/IB/304,
FORM PCT/IB/308, FORM PCT/IB/332

| U.S. APPLICATION NO. 09/857963 | | INTERNATIONAL APPLICATION NO. PCT/JP99/06975 | | ATTORNEY DOCKET NO. 401232/MIURA | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|----|---|--|-------------------------------------|--------------|--------------|------|--|--|--------------|----|------|--|------------|----|--------------------|---|-------|--|------------|----|--|--|--|--|-----------|----|--------------|--|--------------|--|
| 20. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1,000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$ 860.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO, but international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$ 710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$ 690.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1) to (4)..... \$ 100.00 ENTER APPROPRIATE BASIC FEE AMOUNT= \$860.00 Surcharge of \$130.00 for furnishing the National fee or oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date \$ <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:20%;">CLAIMS</th> <th style="width:20%;">NUMBER FILED</th> <th style="width:20%;">NUMBER EXTRA</th> <th style="width:20%;">RATE</th> <th style="width:20%;"></th> <th style="width:20%;"></th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>16</td> <td>-20=</td> <td></td> <td>x \$ 18.00</td> <td>\$</td> </tr> <tr> <td>Independent Claims</td> <td>1</td> <td>- 3 =</td> <td></td> <td>x \$ 80.00</td> <td>\$</td> </tr> <tr> <td colspan="4"><input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)</td> <td>+\$270.00</td> <td>\$</td> </tr> </tbody> </table> TOTAL OF ABOVE CALCULATIONS= \$860.00 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. \$ SUBTOTAL= \$ Processing fee of \$130.00 for furnishing English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date. \$ TOTAL NATIONAL FEE= \$ Fee for recording the enclosed assignment. The assignment must be accompanied by an appropriate cover sheet. \$40.00 per property + \$ TOTAL FEE ENCLOSED= \$860.00 <div style="text-align: right;"> Amount to be: refunded \$ charged: \$ </div> | | | | CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | Total Claims | 16 | -20= | | x \$ 18.00 | \$ | Independent Claims | 1 | - 3 = | | x \$ 80.00 | \$ | <input type="checkbox"/> Multiple Dependent Claim(s) (if applicable) | | | | +\$270.00 | \$ | CALCULATIONS | | PTO USE ONLY | |
| | | | | CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | | | | | | | | | | | | | | | | | | | | | | |
| Total Claims | 16 | -20= | | x \$ 18.00 | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Independent Claims | 1 | - 3 = | | x \$ 80.00 | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Multiple Dependent Claim(s) (if applicable) | | | | +\$270.00 | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | |
| a. <input checked="" type="checkbox"/> A check in the amount of \$860.00 to cover the above fee is enclosed. b. <input type="checkbox"/> Please charge Deposit Account No. 12-1216 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-1216. A duplicate copy of this sheet is enclosed. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:


23548
 PATENT TRADEMARK OFFICE


 Leah C. Oubre, Registration No. 44,990
 One of the Attorneys for Applicant(s)
 6/13/01
 Date